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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/835,400	04/17/2001	George Hamilton Harvey	52090.000376	5160

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EXAMINER

EDOUARD, PATRICK NESTOR

ART UNIT	PAPER NUMBER
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2654

DATE MAILED: 10/19/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/835,400

Applicant(s)

HARVEY ET AL.

Examiner

Patrick N. Edouard

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-28 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date 3 and 4.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: ____.

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1, 3-5, 8, 11-18, 20-21, 23-26 and 28 are rejected under 35 U.S.C. 102(b) as being anticipated by Ausborn (5,056,021).

As per claims 1, 8, 14, 18, 21, and 24, Ausborn teaches a method of representing data comprising the steps of:

“representing each root of a set of roots with a value based on a definitional tree-type structure; (figures 1 and 2, for instance the word “matter”); each root including a plurality of common fields representing levels of the tree-type structure, (his different levels of attraction such as “matter in general”, “ inorganic matter’ an d “organic matter”); each specific field included in a specific root having a value corresponding to the meaning of the specific root at a level of the tree-type structure represented by the specific field” (figure 2, his blocks 204 and col. 11 to col. 30, col. 6, lines 17-30);

“representing a data concept by grouping a plurality roots selected from the set of roots to form a word, each root of the plurality of roots corresponding to a

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characteristic of the a data concept represented by the word" (figure 6, col. 11, line 5 to col. 15, line 5); and

"Storing the word" figure 2, his store common element).

As per claims 3-5, 11-13, 15-17, 20, 23, 25-26 and 28, Ausborn teaches wherein a value of a field at each level of the tree-type designates meaning of each value of a higher level of the tree-type structure (col. 15 to col.30, his appendix where word of lower level in the tree is represented with a value based on higher level of the tree).

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 2, 6, 7, 9, 19, 22, and 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ausborn (5,056,021) in view of Chase (6,332,143).

It is noted that Ausborn teaches the claimed invention but does not explicitly teach wherein each word includes a number of bits, each field of the plurality of common field associated at least one bit and the word includes a connotative root. However, this feature is well known in the art as evidenced by Chase who teaches a database 12 that includes one denotative field that is assigned to word or phrase, connotative field that includes a block or more fields for each of one of a plurality of

emotional categories wherein each emotional descriptor is encoded using a two digit identifying code number in tables 1-6. Therefore, one having ordinary skill in the art at the time the invention was made would have found it obvious to incorporate into the database of Ausborn a connotative field as Chase because it would provide a system for evaluating the connotative content of discourse wherein the author or editor can alter the choice of some words, phrases to fit more closely to a connotative profile desired for the discourse where a more desirable and effective discourse can be obtained for a given target audience.

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patrick N. Edouard whose telephone number is 7033086725. The examiner can normally be reached on T-F 7:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Richemond Dorvil can be reached on 703 3059645. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Patrick N. Edouard

September 27, 2004